

03/15/01
J1064 U.S. PTO

3-16-01

PTO/SB/09-12
03/15/01

Please type a plus sign (+) inside this box

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>	Attorney Docket No. FLT-53-DIV-II
	First Inventor or Application Identifier: Alan R. Peters
	Title HEAD ASSEMBLY FOR A CUTTING MACHINE
	Express Mail Label No. EL414461065US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages 16] (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Copyb. <input type="checkbox"/> Paper Copy (identical to computer copy)c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 10]	ACCOMPANYING APPLICATION PARTS 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee) 9. <input type="checkbox"/> English Translation Document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations] 11. <input type="checkbox"/> Preliminary Amendment 12. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 13. <input type="checkbox"/> * Small Entity Statement filed in prior application, Status still proper and desired (PTO/SB/09-12) 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input type="checkbox"/> Other:
4. Oath or Declaration [Total Pages 2] <ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)<ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	
<small>* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</small>	

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: **09 / 541,000**
Prior application information: Examiner **Peterson, K.** Group / Art Unit: **3724**

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name **Ira S. Dorman, Esq.**

Address **330 Roberts Street**
Suite 200

City **East Hartford** State **Connecticut** Zip Code **06108**

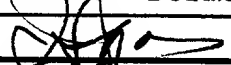
Country **USA** Telephone **(860) 528-0772** Fax **(860) 528-0755**

Name (Print/Type) Ira S. Dorman	Registration No. (Attorney/Agent) 24,469
Signature 	Date 3/15/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL <i>Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</i>	Complete if Known	
	Application Number	
	Filing Date	
	First Named Inventor	Peters, Alan
	Examiner Name	
	Group / Art Unit	
TOTAL AMOUNT OF PAYMENT	(\$)	
	355.00	Attorney Docket No.
		FLT-53-DIV-II

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number <input type="text"/> Deposit Account Name <input type="text"/> <input type="checkbox"/> Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance	3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other	105 130 205 65 Surcharge - late filing fee or oath
FEE CALCULATION	127 50 227 25 Surcharge - late provisional filing fee or cover sheet
1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid	139 130 139 130 Non-English specification
101 790 201 395 Utility filing fee 355	147 2,520 147 2,520 For filing a request for reexamination
106 330 206 165 Design filing fee	112 920* 112 920* Requesting publication of SIR prior to Examiner action
107 540 207 270 Plant filing fee	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action
108 790 208 395 Reissue filing fee	115 110 215 55 Extension for reply within first month
114 150 214 75 Provisional filing fee	116 400 216 200 Extension for reply within second month
SUBTOTAL (1) (\$)	117 950 217 475 Extension for reply within third month
355	118 1,510 218 755 Extension for reply within fourth month
2. EXTRA CLAIM FEES Total Claims <input type="text"/> - 20** = <input type="text"/> X <input type="text"/> = <input type="text"/> Independent Claims <input type="text"/> - 3** = <input type="text"/> X <input type="text"/> = <input type="text"/> Multiple Dependent <input type="text"/> = <input type="text"/> **or number previously paid, if greater; For Reissues, see below	128 2,060 228 1,030 Extension for reply within fifth month
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description	119 310 219 155 Notice of Appeal
103 22 203 11 Claims in excess of 20	120 310 220 155 Filing a brief in support of an appeal
102 82 202 41 Independent claims in excess of 3	121 270 221 135 Request for oral hearing
104 270 204 135 Multiple dependent claim, if not paid	138 1,510 138 1,510 Petition to institute a public use proceeding
109 82 209 41 ** Reissue independent claims over original patent	140 110 240 55 Petition to revive - unavoidable
110 22 210 11 ** Reissue claims in excess of 20 and over original patent	141 1,320 241 660 Petition to revive - unintentional
SUBTOTAL (2) (\$)	142 1,320 242 660 Utility issue fee (or reissue)
--	143 450 243 225 Design issue fee
	144 670 244 335 Plant issue fee
	122 130 122 130 Petitions to the Commissioner
	123 50 123 50 Petitions related to provisional applications
	126 240 126 240 Submission of Information Disclosure Stmt
	581 40 581 40 Recording each patent assignment per property (times number of properties)
	146 790 246 395 Filing a submission after final rejection (37 CFR 1.129(a))
	149 790 249 395 For each additional invention to be examined (37 CFR 1.129(b))
	Other fee (specify) <input type="text"/>
	Other fee (specify) <input type="text"/>
	Reduced by Basic Filing Fee Paid
	SUBTOTAL (3) (\$)
	--

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Ira S. Dorman	Telephone	(860) 528-0772
Signature		Date	3/15/01
		Registration No. (Attorney/Agent)	24,469
		Deposit Account User ID	

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APPLICANT IS A SMALL ENTITY